



GROUNDWATER VALUE STREAM INSTRUCTIONS FOR NOTICE OF TRANSFER FOR AN ON-SITE WASTEWATER TREATMENT FACILITY

OVERVIEW OF REQUIREMENTS AND PROCESS

Any person selling or transferring ownership of a property served by an on-site wastewater treatment facility (includes a conventional septic tank system or alternative on-site wastewater treatment facility) must retain a qualified Inspector to inspect the facility within six months prior to transferring ownership of the property (Arizona Administrative Code, A.A.C. R18-9-A316). Typically, such an inspection is triggered by the resale of a home by an owner.

The requirement to have the on-site wastewater treatment facility (septic system) inspected within six months prior to property transfer is a provision of Arizona rule and takes precedence over any conflicting terms that may exist in any contract pertaining to the property transfer.

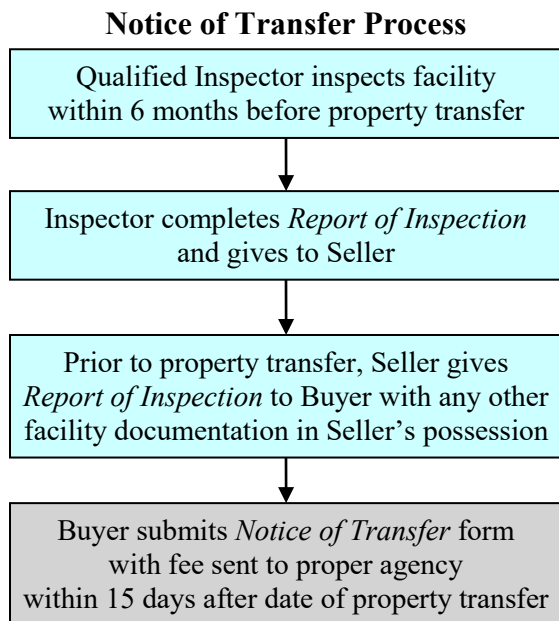
A person shall not use a cesspool for sewage disposal (per Arizona Administrative Code, A.A.C. R18-9-A309(A)(4)). Do not use this form to transfer a cesspool.

WHAT IS REQUIRED TO TRANSFER OWNERSHIP OF MY ON-SITE FACILITY?

An inspector that is qualified under A.A.C. R18-9-A316, must complete a *Report of Inspection* form and provide it to the seller as required by the Code. Any significant amount of waste must also be pumped from each tank. If there is more than one on-site system in use on the property, the Inspector shall complete a *Report of Inspection (ROI)* form for each septic system.

Before the transfer date (closing date) of the property, the seller shall provide the buyer with the completed *Report of Inspection (ROI)* form and any other documents they may have in their possession that relate to the permitting or operation and maintenance of the septic systems or alternative on-site wastewater treatment facility. The ROI is valid for only 6 months.

Within 15 calendar days after the date of property transfer, the Buyer shall submit a completed *Notice of Transfer* form for the change of ownership and file the NOT with the proper agency indicated in the Filing Instructions, page ii.



A qualified inspector will have available a current ADEQ *Report of Inspection* form.

FILING BY MAIL For instructions to submit a *Notice of Transfer* in paper form and pay the fee by mail, see Page ii. Property buyers or anyone submitting this *Notice of Transfer* form on their behalf, are required to completely and accurately fill out this form to the best of their knowledge.

FILING ONLINE As of August 1, 2017, ADEQ will ONLY accept *Notice of Transfers* that are filed online. For further information and to begin, click here: <https://ptl.az.gov/app/own/home.xhtml>

FILING INSTRUCTIONS

ADEQ's fee for a *Notice of Transfer* is \$50.00 per parcel regardless of the number of septic systems on the property. An ONLINE *Notice of Transfer* must be completed for each individual parcel and will include the required information for one or more treatment facilities (septic systems) if located on the same parcel. A separate \$50.00 transfer fee must be submitted to ADEQ for each separate parcel if a septic system is located on two separate properties. The *Report of Inspection (ROI)* form is not required for submission to ADEQ.

If the electronic Notice of Transfer form is not completed accurately, then the form will automatically be rejected in ADEQ's system. A check payment received by ADEQ that is payable to a county agency, will automatically be returned by mail to the Corporate Office or to the individual customer that submitted the transfer fee.

FILING A NOTICE OF TRANSFER BY PAPER FORM

As of August 1, 2017, ADEQ discontinued processing ALL paper *Notice of Transfer (NOT)* forms and will only accept them if they are filed electronically at <https://ptl.az.gov/app/own/home.xhtml>. However, if you would like to complete a *Notice of Transfer* paper form, **the transfer payment and NOT paper form must be filed and mailed to the appropriate county at the address listed on Page iv.**

ADEQ DISCONTINUED SERVICING THE FOLLOWING FOUR COUNTIES REGARDING THE NOTICE OF TRANSFER PROCESS AND SUBMISSION OF THE PAYMENTS AND FORMS FOR PROPERTIES LOCATED IN THESE FOUR COUNTIES:

Coconino County

For properties located in Coconino County, ALL payment fees for a *Notice of Transfer* are to be submitted to the Coconino County Community Development, Environmental Quality Services effective as of November 9, 2020. Make your payments payable to "Coconino County" and complete *their* NOT county form electronically at <https://www.coconino.az.gov/2291/Applications>.

Maricopa County

For properties located in Maricopa County, ALL forms and fees for a *Notice of Transfer* are to be submitted to the Maricopa County Environmental Services (MCES) effective as of July 16, 2018. Make payments payable to "Maricopa County" and submit with *their* completed NOT county form, to MCES at the address listed on Page iv.

Yavapai County

For properties located in Yavapai County, ALL forms and fees for a *Notice of Transfer* are to be submitted to the Yavapai County Development Services (YCDS) effective as of July 16, 2018. Make payments payable to "Yavapai County" and submit with *their* completed NOT county form, to YCDS at the address listed on Page iv.

Pima County

ADEQ does **not** process *Notice of Transfer* submittals for properties located in Pima County. **Please contact Pima County Development Services** for information regarding their process for *Notice of Transfer* submittals and fees at the address listed on Page iv.

For ALL other Counties

An online *Notice of Transfer* has to be completed with ADEQ at <https://ptl.az.gov/app/own/home.xhtml> and the required transfer fee payment will need to be payable to ADEQ and submitted to ADEQ.

Please contact the county agency in which the property is located, to find out if that county agency will accept the use of a paper NOT form and the cost of *their* NOT transfer fee which the *PAPER FORM* and *FEE* would be submitted with the proper county in which the property is located. *SEE the list of counties on the next page.*

MAILING ADDRESSES FOR COUNTY AGENCIES

**Apache County Environmental
Health Services**
P.O. Box 697
St. Johns, Arizona 85936
Tel: (928) 337-7607

**Cochise County Planning, Zoning and
Building Safety**
1415 West Melody Lane, Bldg. E
Bisbee, Arizona 85603
Tel: (520) 432-9240

**Coconino County Community Development
Environmental Quality Services**
2500 North Fort Valley Road, Building 1
Flagstaff, Arizona 86001
Tel: (928) 679-8850

**Gila County Community Development
Wastewater Dept**
608 East Highway 260
Payson, Arizona 85541
Tel. (928) 474-9276

Graham County Health Department
826 West Main
Safford, Arizona 85546
Tel: (928) 428-1962

Greenlee County
P.O. Box 936
Clifton, Arizona 85533
Tel: (928) 865-2601

**La Paz County Community Development
Department**
1112 Joshua Street, #202
Parker, Arizona 85344
Tel: (928) 669-6138

Maricopa County Environmental Services
501 N. 44th Street, Suite 200
Phoenix, Arizona 85008
Tel: (602) 506-6616

Mohave County Health Department
P.O. Box 7000
Attn: Environmental Health
Kingman, Arizona 86402-7000
Tel: (928) 757-0901

Pima County Development Services
Septic Counter – Notice of Transfer
201 North Stone Avenue
Tucson, Arizona 85701
(520) 740-6490

Pinal County Environmental Services
P.O. Box 2973
Attention: Septic Transfer
Florence, Arizona 85132-2517
Tel: (520) 866-6864

Santa Cruz County Health Department
2150 N. Congress Drive
Nogales, Arizona 85621
Tel: (520) 375-7900

**Yavapai County Development Services
Environmental Unit**
1120 Commerce Drive
Prescott, Arizona 86305
Tel: (928) 771-3214

Yuma County Developmental Services
Attn: Environmental Health Section
2351 West 26th Street
Yuma, Arizona 85364
Tel: (928) 817-5084



GROUNDWATER SECTION
NOTICE OF TRANSFER OF OWNERSHIP
FOR AN ON-SITE WASTEWATER TREATMENT FACILITY

1 Property Information (All fields are required)

Address _____ County _____
 _____ Tax Parcel No. _____
 City _____ Zip _____ Residential property, or Non-residential property

2 Transferor/Seller/Former Owner of Property (All fields are required)

Name _____
 Mailing Address _____

 City _____ State _____ Zip _____
 Phone No. _____ Fax _____ Email _____

3 Transferee /Buyer/New Owner of Property (All fields are required)

Name _____
 Mailing Address _____

 City _____ State _____ Zip _____
 Phone No. _____ Fax _____ Email _____

Transferee/Buyer must check this box if the On-site Wastewater Treatment Facility is Exempted From Inspection

An inspection is not required if both of the following conditions apply (Buyer shall check applicable boxes to affirm that these conditions are met, provide the file number and authorization date, then skip directly to Item 8 before submitting this form):

A Discharge Authorization was issued by ADEQ or its delegated county agency to operate the facility.
 Discharge Authorization File No.: _____
 Discharge Authorization Date: _____

The facility has never been put into service before this property transfer.

4 Inspector Information (All fields are required)

Inspector Name _____ NAWT Inspector No. _____
 Company Name _____
 Address _____

 Phone No. _____ Fax _____ Email _____

5 Date of Facility Construction (Copy from either Item 7A or 7B of the REPORT OF INSPECTION form)

Before January 1, 2001, or
 On or after January 1, 2001 as authorized by ADEQ or its delegated county agency

| Department Use Only | | Date Stamp |
|-----------------------|--|------------|
| Check # and Amount | | |
| Date Entered into OWN | | |
| Clerk Initials | | |

6 Facility Type (Refer to Item 7 of the REPORT OF INSPECTION form)

- Conventional septic tank/disposal system (very common—any system consisting of a septic tank that disposes effluent to trench, bed, chamber technology, or seepage pit), or
- Alternative on-site system (not common—any system using an alternative technology for treatment or disposal)

7 Inspection Information (Copy all required information from the REPORT OF INSPECTION form)

Date of Inspection (from Item 13 of *Report of Inspection* form): _____

Design flow of facility (from Item 6E of *Report of Inspection* form): _____ gallons per day

Please indicate any file number/dates as indicated in Item 5 of Report of Inspection form:

- Discharge Authorization* issued on or after January 1, 2001 (Item 5A of *Report of Inspection* form):
File No. _____ Date issued: _____, or
- Approval of Construction* or other permitting document issued by ADEQ or a County agency before January 1, 2001 (Item 5B of *Report of Inspection* form): File No. _____ Date issued: _____

Please indicate the number of septic tanks in use on this property: _____

Was the Septic tank(s) pumped as part of inspection (Item 8A of *Report of Inspection* form)? Yes No

If the answer is No above, please indicate why the septic tank(s) were not pumped:

- The septic tank was put into service less than 12 months before inspection, or
- Pumping or servicing was not necessary at the time of inspection based on manufacturers written operation and maintenance instructions (applicable only to alternative technologies), or
- No accumulation of floating or settled waste was present in the septic tank (may be applicable to certain remote or seasonal systems with little use).

Were repairs made as part of the inspection (Item 8Q of *Report of Inspection* form)? Yes No

8 Form Submittal and Buyer/Transferee Advisory (All information is required)

- Date of property transfer (closing date): _____
- Date of submittal of this *Notice of Transfer* form: _____
- Check this box to confirm the \$50 filing fee is being submitted with this *Notice of Transfer* form

Please Select who is submitting this Notice of Transfer Form:

- Buyer/Transferee, or
 - A person submitting this form on behalf of the Buyer/Transferee (Please complete the required information below)
- Name of Submitter: _____
- Company: _____
- Address: _____
- Phone Number: _____
- Relationship of submitter : Escrow Officer/Title Company, or Other (indicate): _____

9 Certification/Signature (All information is required)

- I, as the Buyer/Transferee, certify that I have received a Report of Inspection from the Seller/Transferor or their representative, and that I have accurately completed this Notice of Transfer form to the best of my knowledge, or
- I, as a person submitting this form on behalf of the Buyer/Transferee, certify that the information provided in this Notice of Transfer form is complete and accurate to the best of my knowledge.

Signature: _____ Date: _____